

FEB. 6. 2004 4:18PM

UNIVATION LAW DEPT

FEB 06 2004 NO. 3382

OFFICIAL

Univation Technologies, LLC 5555 San Felipe, 19th Floor, Houston, Texas 77056 United States of America

Univation
TECHNOLOGIES

Date: **Friday, February 6, 2004**

Number of pages including cover sheet: 10

TO: FELICIA ALLEN

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Attn: **Group 1713**

Telephone: (571) 272-0986

Facsimile (703) 872-9306

FROM: KEVIN M. FAULKNER

Univation Technologies, LLC

5555 San Felipe Road, Suite 1950

Houston, Texas 77056

Telephone (713) 892-3711

Facsimile (713) 892-3687

REMARKS:

☒ Urgent

☒ For your review

☐ Reply ASAP

☐ Please comment

Re: **U.S.S.N.: 09/396,266**
Filing Date: 09/15/1999
Attorney Docket No.: 1998U007A.US

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence consisting of a Response to Notice of Non-Compliant Amendment and a Revised Supplemental Response to an Office Action mailed 05/15/2003 are being facsimile transmitted to the United States Patent and Trademark Office, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, to facsimile number (703) 872-9306, Attn: Legal Instruments Examiner (LIE) Felicia Allen, on Friday, January 30, 2004.

Date

2/6/04

Kevin M. Faulkner
Kevin M. Faulkner
Attorney for Applicant
Registration No. 45,427

The information contained in this transmission is intended for the sole use of the individual or entity to whom it is addressed. It may contain information that is privileged, confidential and/or exempt from disclosure under applicable law. If you are not the intended recipient, you are hereby notified that you are not authorized to review the following pages and that the dissemination, distribution, or copying of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by collect call and return this original transmission to us by mail at the above address.

FEB. 6. 2004 4:19PM

UNIVATION LAW DEPT

NO. 3382 P. 2

RECEIVED
CENTRAL FAX CENTER

FEB 06 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/396,266
Applicant : Thomas H. Peterson
Filed : 09/15/1999
Art Unit : 1713
Examiner : Lu, C. Caixia

Confirmation No. 7188

OFFICIAL

Docket No. : 1998U007A.US
Customer No. : 25959

Date : February 6, 2004

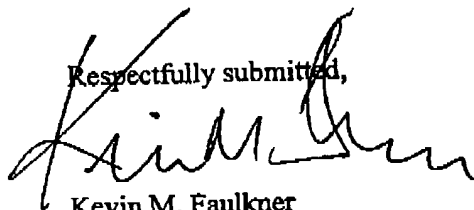
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT (37 CFR 1.121)

Sir:

In response to the Notice of Non-Compliant Amendment mailed January 7, 2004, regarding a Supplemental Response to an office action submitted to the USPTO on December 11, 2003, Applicant submits a Revised Supplemental Response to provide a complete listing of all of the claims pending in this application. .

Respectfully submitted,



Kevin M. Faulkner
Registration No. 45,427

Univation Technologies, LLC.
5555 San Felipe, Suite 1950
Houston, Texas 77056
Phone: (713) 892-3729
Facsimile: (713) 892-3687

FEB. 6. 2004 4:19PM

UNIVATION LAW DEPT

NO. 3382 P. 3

RECEIVED
CENTRAL FAX CENTER

FEB 06 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

OFFICIAL

Confirmation No. 7188

Appl. No. : 09/396,266
Applicant : Thomas H. Peterson
Filed : 09/15/1999
Art Unit : 1713
Examiner : Lu, C. Caixia

Docket No. : 1998U007A.US
Customer No. : 25959

Date : February 6, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

SUPPLEMENTAL AMENDMENT

Sir:

This is in further response to the Office Action of May 15, 2003, (paper 18), and the communication mailed November 25, 2003. Please consider the following remarks, and amend the above-identified application as follows:

- **Amendments to the Claims** are reflected in the listing of claims that begin on page 2 of this paper.
- **Remarks** begin on page 5 of this paper.